

APPROVED

by Resolution No. TPN-17 of 30 October 2019  
of the Council of Vilnius University  
(wording of Resolution of the Council of Vilnius  
University No. TPN-1 of 31 January 2024)

## **DESCRIPTION OF THE PROCEDURE FOR THE ALLOCATION OF THE APPROPRIATIONS FROM THE STATE BUDGET FOR SCIENCE TO THE UNITS OF VILNIUS UNIVERSITY**

### **CHAPTER I GENERAL PROVISIONS**

1. The Description of the Procedure for the Allocation of the Appropriations from the State Budget for Science to the Units of Vilnius University (hereinafter the ‘Description’) establishes the principles for the allocation of received funds to core units and *sui generis* academic units (hereinafter collectively the ‘CAUs’) and core non-academic units (hereinafter the ‘CNUs’) of Vilnius University (hereinafter the ‘University’).

2. Appropriations from the State budget for science (hereinafter the ‘appropriations’) shall be allocated for:

2.1. the results of the annual assessment of scientific publications, participation in scientific research and experimental development (hereinafter the ‘SR&ED’) programmes, implementation of SR&ED contracts and other quantitative indicators (provided for in the legal acts of the Republic of Lithuania) of three years (( $n-1$ ) – ( $n-3$ ), where  $n$  stands for the current year) (hereinafter the ‘annual assessment’);

2.2. the results of the comparative expert assessment of SR&ED (hereinafter the ‘comparative assessment’) carried out every five years in accordance with the procedure established in the legal acts of the Republic of Lithuania. During the comparative assessment, the quality, the social and economic impact, and the development potential of the science field are assessed.

3. The terms used in this Description shall be understood as defined in Order of the Minister of Education and Science of the Republic of Lithuania No. V-1593 of 2 September 2021 “On the Implementation of Items 2(2)–2(6) of Resolution of the Government of the Republic of Lithuania No. 149 of 1 March 2017 “On the Implementation of the Republic of Lithuania Law on Higher Education and Research”” (hereinafter the ‘Order of the Minister of Education and Science’).

### **CHAPTER II ALLOCATION OF THE ANNUAL ASSESSMENT FUNDS**

4. The funds received for the results of the annual assessment shall be allocated to the CAUs and the CNUs for ( $n+1$ ) year taking into account the assessment results of the scientific activities of these units.

5. The amount of funding received for the results of the annual assessment, based on the factual data of the allocation of funds appropriated to the University to science areas, shall be allocated to:

5.1. the area of humanities;

5.2. the area of social sciences;

5.3. the group of natural, medicine and health, technological and agricultural science areas.

6. If the data indicated in Item 5 is not available, the sum of appropriations for science areas (groups of areas) shall be calculated in accordance with the procedures approved by the Order of the Minister of Education and Science that regulate the yearly assessment of the scientific research and experimental development, and artistic activities of the universities and the results of formal (incentive) assessment

of scientific works announced by the Research Council of Lithuania, the assessment of international projects and commissioned works.

7. In every science area (group of areas), the funds received shall be divided into two parts:

Science area (group of areas)	The part of allocated funds (in per cent) for scientific publications and other quantitative indicators	The part of allocated funds (in per cent) for participation in SR&ED programmes and the implementation of SR&ED contracts
Humanities	85	15
Social sciences	80	20
Natural, medicine and health, technological and agricultural sciences	60	40

8. For scientific publications and other quantitative indicators, the funds for the CAUs and the CNUs shall be allocated as follows:

8.1. the points collected by the CAUs and the CNUs during the years (n-1), (n-2) and (n-3) are calculated (separately for each year based on the results announced by the assessing institution);

8.2. in each group, the funds are divided into three parts: 40 per cent for the year (n-1), 30 per cent for the year (n-2), and 30 per cent for the year (n-3);

8.3. The value of each year's point is calculated by dividing the amount of funds for allocation by the sum of points (the value of the point is rounded to two decimal places);

8.4. the sum of points collected by each CAU and CNU is multiplied by the value of the point;

8.5. the resulting amounts of three years are added together.

9. For participation in SR&ED programmes and the implementation of SR&ED contracts, the funds for CAUs and CNUs shall be allocated for each science area (group of areas), in proportion to the amount of funds earned and recognised as SR&ED by the experts of the institution organising the assessment.

### **CHAPTER III ALLOCATION OF THE COMPARATIVE ASSESSMENT FUNDS**

10. The funds received for the comparative assessment shall be allocated to the CAUs taking into account the assessment results of the quality of science, the social and economic impact, and the development potential of the science field(s) carried out there. If several fields were linked to form one assessment unit, it shall be considered that the social and economic impact, as well as the development potential estimates of each of them, are equal.

11. The amount of funding received for the results of the comparative assessment, based on the factual data of the allocation of funds appropriated to the University to science areas, shall be allocated to:

11.1. the area of humanities;

11.2. the area of social sciences;

11.3. the group of natural, medicine and health, and technological science areas.

12. If the data indicated in Item 11 is not available, the sum of appropriations for science areas (groups of areas) shall be calculated in accordance with the procedures approved by the Order of the Minister of Education and Science that regulate the comparative expert assessment of the scientific research, experimental development and artistic activities of the universities, and in accordance with the assessment results of the quality, the social and economic impact, and the development potential of the science announced by the institution that carried out the comparative assessment.

13. In accordance with the data announced by the institution that carried out the comparative assessment, the cumulative estimate  $b_{CAUj}$  of each CAU<sub>j</sub> (j varies from 1 to a, where a is the number of the CAUs) shall be calculated in the science areas (for humanities and social sciences) and area groups (for natural, medicine and health, and technological sciences).

14. The estimate  $b_{CAU_j}$  shall be calculated based on the estimates of the quality, the social and economic impact, and the development potential of the science field(s) of each  $CAU_j$  based on the following formula:

$$b_{CAU_j} = \frac{k_1 \sum_{i=1}^l M_i MVDDA_{ij} + k_2 \sum_{i=1}^l S_i MVDDA_{ij} + k_3 \sum_{i=1}^l P_i MVDDA_{ij}}{\sum_{i=1}^l MVDDA_{ij}}$$

where:

$M_i$  – the quality estimate of the  $i$  science field;

$S_i$  – the social and economic impact estimate of the  $i$  science field;

$P_i$  – the development potential estimate of the  $i$  science field;

$MVDDA_{ij}$  – the full-time equivalent of the scientists of the  $i$  science field at the  $CAU_j$ ;

$k_1, k_2$  and  $k_3$  – the weighting factors of the estimates, established following the current version of the Order of the Minister of Education and Science;

$l$  – the number of science fields of the  $CAU_j$ .

15. The amount of funding allocated to the  $CAU_j$  for the results of the comparative assessment for the year  $(p+q)$  (where  $q$  varies from 1 to 5) shall be calculated as follows:

$$B_{(p+q)CAU_j} = A_{(p+q)} \frac{B_{pCAU_j} b_{CAU_j}}{\sum_{j=1}^m B_{pCAU_j} b_{CAU_j}}$$

where:

$p$  – the year of announcing the results of the comparative assessment;

$A_{(p+q)}$  – the sum of appropriations allocated to the University according to the comparative assessment results of the science area (group of areas) for the year  $(p+q)$ ;

$B_p$  – the amount of appropriations for science allocated to a specific science area for the comparative and yearly assessment results on the year of announcing the results of the comparative assessment  $pCAU_j$ , calculated in accordance with the Description of the Procedure for the Allocation of the Appropriations from the State Budget for Science to the Units of Vilnius University;

$m$  – the number of CAUs conducting research in the science area (group of areas).

16. In the event that the CAU conducts scientific research in more than one science area, the calculations indicated in Item 15 of the Description shall be performed for each area (group of areas) separately, and these results shall be added together.